



□ Why is it so attractive ?

- It could guarantee practically unlimited source of energy
- Ecologic hazards significantly decreased compared to nuclear fission
- No weapon materials proliferation issues

□ Why mankind needs a novel energy source

- Resources of fossil fuels and fission materials are limited
- Ecological issues of burning fossil fuels and fission
- Limitation of renewable energy resources

□ Global energy balance

- Sun delivers to earth 2×10^{24} J/year, global consumption 5.7×10^{20} J = 13699 Mtoe (toe = ton of oilequivalent)
- Low concentration is the drawback of solar energy

Global graphs











Nuclear energy



Differences in binding energy per nucleon are exploited for energy production



- The most stable nucleus is ⁵⁶Fe (Z=26, A = 56)
- Energy gained either by fission of heavy nuclei
- or by fusion of light nuclei
- α particle (⁴He) high B/A
- Coulomb repulsion prevents nuclei from fusing height ~1 MeV
- Fortunately, quantum tunneling enables fusion at lower energy
- Fusion cross-section is ~10⁶× less than for elastic collisions ⇒ beam-target interaction cannot produce energy gain



Fusion reactions





- Reaction D + T → n + ⁴He + 17.6 MeV highest cross-section at low energies high energy 340 GJ/g of fuel 1 g DT ≃ 4.5 g ²³⁵U ≃ 10 t coal
- Tritium is missing in nature, but it can be produced from abundant Li $n + {}^{6}Li \rightarrow {}^{4}He (2.1 \text{ MeV}) + T (2.7 \text{ MeV})$ $n + {}^{7}Li \rightarrow {}^{4}He + n + T - 2.47 \text{ MeV}$
- Ideal ignition temperature (fusion energy = radiation losses) T_{id} = 4.3 keV

DT drawback energetic n (how is energy distributed between n and ⁴He ?)

DD reaction – only slow neutrons, higher threshold, lower yield 2 channels D + D \rightarrow p + T + 4 MeV ; D + D \rightarrow n + ³He + 3.27 MeV Neutronless fusion – charged products (no radioactivity), T_{id} ~100 keV p + ¹¹B \rightarrow 3× ⁴He + 8.7 MeV ; p + ⁶Li \rightarrow ⁴He + ³He + 4 MeV





- Muon 207x heavier than electron, otherwise very similar properties, but half-life $\tau^{\mu}_{1/2} \cong 2.2 \,\mu s$
- Lowering of the potential barrier in muonic molecule DTμ, fusion takes place also at room temperature
- Needed source intensity $5\times10^{14}~\mu/s\,$ this can be achieved in future
- Problems number of fusions per 1 μ, after fusion 0.8% μ stay attached to α particle and they are lost for fusion, this problem has not been solved despite many years' effort
- Energy needed for generation of 1 μ is at present 6 GeV (though $m_{\mu}c^2$ = 105 MeV), for the above losses of μ , it would be needed to decrease it to 1.5 GeV, and it also has not been reached
- It seems there is **no chance** for energy production



Fusion energy balance





$$Q = \frac{E_F}{E_B + E_p}$$

 $E_{\rm B} - \text{bremsstrahlung losses} = \alpha_{\rm B} n^2 T^{1/2} \tau$ $E_{\rm p} - \text{ plasma energy} = 2(3/2 \text{ n } k_{\rm B} \text{ T})$ $\eta (E_{\rm FS} + E_{\rm B} + E_{\rm p}) \ge E_{\rm p} + E_{\rm B} \Longrightarrow$ $Q \ge 1/\eta - 1 = 1/(1/3) - 1 = 2$ Choice $\eta = 1/3 - \text{Lawson}$

Fusion energy gain $E_{\text{TS}} = \frac{n \tau \left(\frac{1}{4} \langle \sigma v \rangle_T \varepsilon_S \right)}{3k_B T + \alpha_B T^{1/2} n \tau} = f(n \tau, T)$ $E_{\text{TS}} = \frac{n^2 \langle \sigma v \rangle}{n^2 \langle \sigma v \rangle} \varepsilon_S \tau$ $T \cong 10 \text{ keV} (1.16 \times 10^8 \text{ K})$

 $n \ \tau \ge 10^{14} \text{ cm}^{-3}\text{s}$ Lawson criterion ⁽¹⁾ 2 basic options $-n \sim 10^{14} \text{ cm}^{-3}, \ \tau \sim 1 \text{ s}$ - magnetic confinement $-n \sim 10^{23} \text{ cm}^{-3}, \ \tau \sim 10^{-9} \text{ s}$ - inertial confinement

(less frequent middle option – $n \sim 10^{18}$ cm⁻³, $\tau \sim 10^{-4}$ s – pinch – dense magnetized plasma) – historically the first one studied

⁽¹⁾*Lawson* criterion derived from energy production arguments (original derivation)





• Fusion products – neutron escapes from fuel but α -particles are basically stopped in the fuel and heat it, let η_{α} is the part of α energy heating the fuel, then the heating power is

$$S_{\alpha} = \frac{1}{4} \eta_{\alpha} E_{\alpha} n^2 \left\langle \sigma \mathbf{v} \right\rangle = \frac{1}{16} \eta_{\alpha} E_{\alpha} \frac{P^2}{\left(k_B T\right)^2} \left\langle \sigma \mathbf{v} \right\rangle$$

• Power loss by radiation (bremstrahlung emission) and due to finite energy confinement time $\tau_{\rm E}$ are

$$S_B = C_B Z_{eff} n^2 T^{1/2} \cong C_B n^2 T^{1/2} = C_B \frac{P^2}{k_b^2 T^{3/2}} \qquad S_C =$$

- At threshold $S_{\alpha} = S_B + S_C$. For $\eta_{\alpha} = 1$ $p\tau_{E}^{(atm s)}$ plotted threshold versus T
- Minimum $P\tau_E \cong 8.3$ bar.s at T = 15 keV corresponds to $n\tau_E = 1.7 \times 10^{14}$ cm⁻³s ⁽¹⁾
- At 5 keV threshold $P\tau_{\rm E} \cong$ 36 bar.s

⁽¹⁾Lawson criterion derived from power balance arguments



3 P

 $2 \tau_{E}$



Confinement and burn



Hot fuel has to be confined for sufficient time τ to allow significant burn fraction Ψ
 Let n_f is cumulative number of fusion reactions in unit volume, n_D, n_T is deuterium and tritium density, then

$$\frac{\mathrm{d} n_f}{\mathrm{d} t} = n_D n_T \langle \sigma v \rangle \qquad \text{for } t=0 \quad n_D = n_T = n_0/2 \text{ and } n_f = 0$$
$$n_f(t) = \Psi(t) \times n_0/2 \quad \text{and} \qquad \frac{n_0}{2} \frac{\mathrm{d} \Psi}{\mathrm{d} t} = \frac{n_0^2}{4} \langle \sigma v \rangle (1 - \Psi)^2$$

for constant reaction rate ($T_i \approx 20 \text{ keV} = 2.32 \times 10^8 \text{ K}$)

$$\Psi(\tau) = \left(1 + \frac{2}{n_0 \langle \sigma v \rangle \tau}\right)^{-1} \text{ to reach } \Psi = 1/3 \Rightarrow n_0 \tau \ge \langle \sigma v \rangle^{-1} \approx 10^{15} \text{ cm}^{-3} \text{s}$$

- Confinement
 - Gravitational (stars) p-p cycle (Sun); CNO cycle (\uparrow T); CC reactions (WD)
 - Magnetic (tokamaks, stellarators, $n_0 \approx 10^{14}$ cm⁻³, $n_0 \tau_E \ge 10^{14}$ cm⁻³s, $\tau > \tau_E$)
 - Inertial (direct drive; indirect drive)





Many schemes of magnetic confinement do exist

- Closed systems
 - Stellarators
 - Tokamaks
 - Multipoles
 - Devices with relativistic electron beam (ASTRON)
- Magnetic mirrors
 - Magnetic cusp
 - Baseball-seam coil
- Pinches
 - z-pinch
 - θ-pinch

Problems – stability – typical kink



(a) Kink instability

Closed systems



Simple torus is unstable

- Curvature and grad*B* drifts cause electrons and ions drifting to opposite sides
- Space charges $\Rightarrow E$ field
- *E*×*B* drift moves plasma out

Instability mitigation

- Sheared magnetic field
- Magnetic field with minimum inside
- Dynamic stabilization





Sheared magnetic field in torus

Stellarator



Toroidal equilibrium stationary system – external heating Magnetic field formed only by external coils, field lines stay at nearly constant minor radius. Field lines form magnetic surfaces, do not leave magnetic surfaces. In 2015, physical experiments started on new supraconductive stellarator Wendelstein-7X in Germany (plasma 30 m³ x JET 100 m³)





coils of toroidal field











Tokamak (from Russian – toroidal chamber

with magnetic coils) – basically a transformer where toroidal plasma acts as the secondary circuit, plasma current creates poloidal field. Besides toroidal field created by external coils, third vertical (poloidal) magnetic field is also needed (external coils)

Works in pulsed regime, primary Ohmic heating cannot reach fusion temperature, secondary heating – neutral particle beams or RF antennas 5 big tokamaks in 1980's– JET (UK), now ITER under construction (2025?)



Mutipoles, magnetic mirrors



Multipoles – with parallel conductors in toroidal shape form minimum-B configuration that is MHD stable

Ordinary magnetic mirror is also unstable, but magnetic cusp is stable.

Stable configuration is achieved by adding loffe rods. The topologically same configuration is achieved in the baseball-seam coil





Pinch – z-pinch and θ -pinch

z-pinch; wire-



plasma

ANODE

CATHODE

Z-pinch – magnetic field created by high-current discharge can compress it pinch effect classical z-pinch unstable equilibrium

$$\frac{d}{dr}\left(p + \frac{B^2}{2\mu_0}\right) = \frac{1}{\mu_0}\left(\vec{B}\nabla\right)\vec{B} = -\frac{B^2}{\mu_0 r}$$
 sausage
instability
$$B = \frac{I}{2\pi r \varepsilon_0 c^2} \Rightarrow I^2 = 2 \times 10^7 N k_B T, \text{ electron}$$

number per length $N = \pi R^2 n$
the Bennett relation

Z-machine in Sandia National Laboratory, USA θ -pinch – current in θ direction in the outer shell induces opposite θ current in plasma column surprisingly stable, may be also θ-pinch used in toroidal geometry

Inertial fusion – compression necessity



- Inertial confinement hardly any confinement, due to inertia disassembling of hot fuel takes final time
- Spherical hot fuel assembly assumed (radius *R*), then $\tau \approx R/3c_s$ (ion sound velocity ~ $T^{1/2}$) and $n_0 \cong \rho/2.5m_p \Rightarrow$ $\Psi = \frac{\rho R}{\rho R + H_B}$, $H_B \cong 6.3$ g/cm², $\Psi = 1/3 \Rightarrow \rho R = 3$ g/cm²
- Fuel pressure *P* [bar] $\approx 8 \times 10^8 \rho T_i$ [keV]
- In ICF (inertial confinement fusion) conditions: $\rho R \approx 3 \text{ g/cm}^2$, $T \approx 10 \text{ keV} \Rightarrow PR \sim 3 \times 10^{10} \text{ bar} \times \text{cm} \Rightarrow E \sim PV \sim 3 \times 10^9 R^2 \text{ [J]}$
- If $E \sim 300$ kJ can be delivered to the fuel, then $R \sim 100 \ \mu m$, $P \sim 3$ Tbar, $\rho \sim 300 \ g/cm^3$ (solid DT density $\rho_{\rm DT} = 0.25 \ g/cm^3$, $m_{\rm DT} \sim 1.25 \ {\rm mg}$)
- How to achieve such tremendous pressures and densities?
 Carefully tuned spherical implosions !!

Indirect and direct drive







Lasers, heavy ion beams or Z-pinches produce in a miniature cavity called hohlraum X-rays that ablate capsule Lasers or heavy ion beams directly irradiate and ablate the capsule



Ablation and compression





- Acceleration comes from particle momentum
- Irradiance is balanced by the outflow of heated material
- For ID $I_{X-ray} \approx \sigma_{SB} T_r^4 \sim nTc_s \Rightarrow P_{abl} \sim \sigma_{SB} T_r^4 / c_s \sim T_r^{3.5}$
- Typically $T_r \approx 300 \text{ eV} \Rightarrow I_{X-ray} \approx 8 \times 10^{14} \text{ W/cm}^2 \Rightarrow P_{abl} \approx 100 \text{ Mbar}$
- Similar laser intensities used, short λ to avoid fast electron preheat
- Stagnation (max. compression) $P_{sg}V_{sg} \approx P_{abl}V_0$ and $P_{sg} \sim 10^4 P_{abl}$ $\Rightarrow V_{sg} \sim 10^{-4} V_0 \Rightarrow R_0/R_{sg} \sim 20$ (like compressing football to a pea)





- Driver energy $E_{\rm D}$ is coupled with efficiency $\eta_{\rm c}$ to capsule
- Capsule energy $\eta_{\rm c} E_{\rm D}$ is converted with hydro (rocket) efficiency $\eta_{\rm H}$ into energy of imploding fuel
- Typically Direct Drive (DD) Indirect Drive (ID) η_{c} η_{H} 0.8
 0.2
 0.2
- So overall efficiency $\eta_{\rm T}$ is ~0.08 for DD and ~0.04 for ID
- Theoretical fusion energy gain seems high

$$G \simeq \frac{17.6 \text{ MeV}}{4 \times \frac{3}{2} k_{B}T} \cdot \Psi \sim \frac{17.6 \text{ MeV}}{6 \times 5 \text{ keV}} \Psi = 580 \Psi \sim 190$$

- But overall target gain is $G_T \sim \eta_T G \sim 16$ (DD) and ~8 (ID). Considering the efficiency of heat conversion into electricity and electricity into driver energy, this is far too low.
- So volume heated DT cannot work for energy production.



Spark ignition



 Solution – heat a small part of the fuel to high *T* and fusion α particles heat the surrounding cold dense fuel and fusion burn wave propagates



Pressure equilibrium



Isobaric fuel assembly

- This works fine in 1D spherical numerical simulations, but life is not 1D
- Mixing must be avoided of cold fuel into hot spot material
- Implosion symmetry is important issue, small non-unifomities are magnified when shell is imploded to very small radius
- Hydroinstabilities during implosion are major concern

Rayleigh-Taylor instabilities (RTI)



- RTI is major concern
- RTI may appear where $\nabla \rho . \nabla P < 0$
- Classically interface between upper heavier fluid and lighter one below





- When approaching ablation surface from outside - density ↑ pressure ↓
- Deceleration phase unstable region
- At stagnation perturbations lead to mix of cold fuel with hot spot that can quench the burn
- 3D calculations are used to assess capsule performance in the presence of perturbations

| 140 ps before ignition time | Ignition time |
|--------------------------------|---|
| Plastic/DT Hohlraum | Stagnation |
| interface a same | shock |
| 60 g/cc density isosurface | 400 g/cc density isosurface (different scale) |



National Ignition Facility





Similar lab. LMJ near Bordeaux starts operation now

- 1 building, 5 hectares
 (2 soccer fields)
- Height 10-stores house
- 10 years construction
- 30 years operation
- > 4 G\$ financed for maintaining nuclear weapons stockpile
- Indirect drive primary as similar to H bomb
- 192 beams of Nd-laser in 48 quads converted to 3ω – 1.8 MJ in 20 ns shaped pulses
- 1 shot/8 hours, η < 1 %
- Full energy 2009
- Operates perfectly



NIF interaction chamber and targets











Experiments





- Hohlraum and capsule must be perfectly matched with laser pulse, capsule precise shape
 - The original scheme developed over 10 years was based on 4 shocks with low energy picket \Rightarrow **low foot** radiation $T_r \Rightarrow$ small 1st shock \Rightarrow to keep fuel at low adiabat ($P/P_{\text{Fermi}} \sim 1.45$)
 - Outer cones laser λ is tuned to modify cross-beam energy transfer (CBET) and reach macroscopically symmetric capsule irradiation (time dependence still uncertain, modelling capability insufficient)
 - In the point design peak $T_r = 300 \text{ eV}$, $v_{impl} \cong 370 \text{ km/s}$, P= 375 Gbar, gain ~ 10 (5×10¹⁸ neutrons)
 - Instabilities and fuel mix underestimated in simulations \Rightarrow max. fusion yield ~10¹⁵ neutrons
- Unstable growth of baroclinic vorticity ($\nabla \rho \times \nabla P / \rho^2$) seeded by the tent
- Partial cure high foot + 3-shocks stability ↑, gain ↑, predictability ↑ (price paid– adiabat ↑⇒ lower compression)



History of improvements



- **High foot** foot $T_r \sim 90 \text{ eV} (1.5 \times T_r)$ for low foot) to increase ablation velocity and density scale length \Rightarrow ablative RT instability is suppressed, but higher adiabat ($P/P_{Fermi} \cong 2.5$) reduces convergence ratio
- 1.9 MJ of 3ω radiation led to released fusion energy 26 kJ > 2x fuel energy, doubling fusion yield due to αparticle self-heating (2013-2014)



- Diamond (HDC/BF) capsule (+ lower gas fill of depleted uranium hohlraum) increased released fusion energy to 54 kJ in 2018
- HybridE/Iraum bigger capsule radius (910 \rightarrow 1100 μ m) in slightly bigger hohlraum (\emptyset 6.2 \rightarrow 6.4 mm) led to higher hot-spot energy
- HybridE with radius 1050 μm 2020-21 used frequency detuning between inner and outer laser cone, Feb 21 yield ~170 kJ, burning plasma regime when up to bang time α energy > work by pressure





- Inertial fusion ignition and burn on Aug 8, 2021 – fusion energy 1.3 MJ still less than laser energy 1.9 MJ (breakeven not achieved)
- HDC (high-density carbon) shell capsule (3.48 g/cc) – shorter laser pulse
- Gold-plated depleted Uranium hohlraum
- Lower density He-gas fill (0.3 mg/cc)
- Wavelength separation (1-2 Å) between inner and outer laser cones
- Bigger capsule 1050 μm inner radius, thickness 78 μm, inner 5 μm undoped, then 20 μm doped by W
- Thicker DT layer (65 μm)
- Narrower DT fill tube \varnothing 2 μ m
- The best quality capsule (pits and voids reduced 100× from 2018)
- Smaller laser entrance holes Ø 3.1 mm (laser beams had to be repointed)
- Reduce coasting time (bang time laser end) – lower max laser power - 440 TW





Ignition shot







250 diagnostics fielded



Measured X-ray emission in ignition shot 20 ps before after bang time (maximum compression)



A single void was detected in this shell



Ignition and breakeven shots



Hot spot temperature evolution

$$c_{DT}\frac{dT}{dt} = f_{\alpha}Q_{\alpha} - f_{B}Q_{B} - Q_{e} - \frac{1}{m}p\frac{dV}{dt}$$

 α -heating $Q_{\alpha} \sim T^{3.6}$, work *p*d*V* heats hot spot before bang time and cools it after

- Ignition α -heating > losses, 70–80% of α heats hot spot (\emptyset 100 μ m), 20-30 % heats surrounding DT
- Max $\rho_c \approx 100$ g/cc, $p_c \approx 450$ Gbar, burn time ~90 ps
- α energy >250 kJ, radiation loss \approx 60 kJ, work \approx 20 kJ
- Capsule absorbed energy \approx 230 kJ, capsule gain \approx 6
- Fusion energy 1.35 MJ, power 15 PW, mix ~10%
- Burnt 2% of DT fuel (NIF energy input 320 MJ/shot)
- 3 repeat experiments reach 25 50% fusion yield (but all > early 21, all > capsule absorbed energy)

Ignition boundary with 70 various mix assumptions 60 50 DT (atm-s) 40 30 20 High Foot CH LGF 10 HDC HyE-1100 Hybrid-E BigFool 2.5 5.0 10.0 7.5 Tth (keV)

Breakeven (positive energy balance) – Dec 5, 2022

- Laser energy increased to 2.05 MJ, released fusion energy 3.15 MJ (gain ~1.5)
- 8% thicker capsule corresponding to laser energy increase, better protection against hydro instability growth
- Difference in laser wavelength increased form 0.25 to 0.275 nm



Alternative drivers

Capsule

(Be ablator)

He-gas fill

Au-foam radiator

(b)

Au-foam radiation case



Gaussian ion beams

2.7-mm "effective

beam radius

Foot pulse beams Main pulse beams

- Nuclear explosion in Halite/Centurion program in 1980's X-rays from underground nuclear test shined into a hohlraum and ignited inertial fusion in a capsule
- Heavy ion beam driver with efficiency >40% and 10 Hz feasible, direct and direct-indirect schemes also possible, no big installation







Direct drive



- Main experiments at Omega laser in LLE Univ. Rochester, USA
- 60 laser beams symmetrically irradiate cryogenic capsule
- Total laser energy 30 kJ, laser smoothing techniques adopted
- Intensity slightly < 10^{15} W/cm² to control laser-plasma instabilities
- Implosion performance scales hydrodynamically to ~2x α heating at NIF energy (higher $\eta_{\rm C}$, but lower implosion quality)
- NIF polar direct drive (symmetric impossible) preliminary experiments started, enhanced losses due to CBET







- Advanced schemes use external means to increase temperature of compressed (either by DD or ID) fuel
 - **Fast ignition (FI)** energetic particle beam (electrons or ions)
 - Shock ignition (SI) spherically convergent shocks
 - Magnetized ICF or magneto-inertial fusion (MIF) magnetic fields
- The basic idea of FI and SI is to use long (ns) laser pulse for compression to reach sufficient ρR with low temperature and then to use short (ps) pulse to heat and ignite the fuel
- Though idea of decoupling compression and heating was proposed earlier, interest started with emergence of high-power ultrashort-pulse lasers (chirped pulse amplification)



Inertial fusion energy







The cycling power should not be too high, let f = 0.25With $\eta_T = 0.4 \Rightarrow \eta_D G \ge 10$

Representative numbers for (1-f) P_{out} = 1000 MW block and 10% driver efficiency (η_D) – f = 0.23, P_{IN} = 300 MW, driver 6 MJ, 5 Hz (30 MW), G = 100, output 3 GW (600 MJ), η_T = 0.43, P_{out} = 1.3 GW





- 600 MJ is the energy released in explosion of 1/7 ton TNT
 - However, damage to chamber is caused by momentum *p* and $p = m v = (2 \text{ E m})^{1/2}$ and for $m_{DT} = 5.4 \text{ mg}$ (burn fraction 1/3) is the momentum equivalent of explosion of $m_{TNT} = 29 g$
 - Protecting the first wall against radiation introduces more mass

Reactor chamber requirements

- Regenerate chamber conditions for target injection, driver beam propagation, and ignition at sufficiently high rates
- Protect chamber structures for several to many years or allow easy replacement of inexpensive modular components
- Extract fusion energy in high-temperature coolant, regenerate tritium
- Reduce radioactive waste generation, inventory, and possible release fractions low enough to meet no-public-evacuation standards
- Chamber cost accounts for 7 15 % of power station cost





- Many concepts propose and analyzed, here just 1 example
- HYLIFE–II heavy ion driver, uses oscillating liquid jets of FLIBE (a F, Li and Be molten salt) to protect fusion chamber from neutrons and also to produce Tritium

